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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

9

Application Number

09/869,088

Filing Date

June 19, 2001

First Named Inventor

Peter J. QUAEDFLEIG

Group Art Unit

1626

Examiner Name

Unknown

Attorney Docket No.

246152014500

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TECH CENTER 1600/2900

## ENCLOSURES (check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form                                   | <input type="checkbox"/> Assignment Papers<br>(for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                                     |
| <input type="checkbox"/> Fee Attached   | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences                 |
| <input type="checkbox"/> Amendment / Reply                                      | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)       |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition  | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declarations                                | <input type="checkbox"/> Petition to Convert to a Provisional Application                  | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                              | <input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):                     |
| <input type="checkbox"/> Express Abandonment Request                            | <input type="checkbox"/> Terminal Disclaimer   | <input checked="" type="checkbox"/> Copy of Original Filing Receipt<br>(2 pages)                    |
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| <input type="checkbox"/> Certified Copy of Priority Document(s)                 | <input type="checkbox"/> CD, Number of CD(s) _____   | <input checked="" type="checkbox"/> Second Request For Corrected Filing<br>Receipt (2 pages)        |
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Remarks

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm  
or  
Individual Name  
Kate Murashige (Reg. No. 29,959)  
Morrison & Foerster LLP  
3811 Valley Centre Drive, #500  
San Diego, CA 92130

Signature

*Kate H. Murashige*

Date

August 19, 2002

## CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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*Kasey P. Cook*  
Kasey P. Cook

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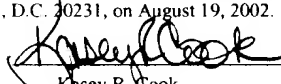
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PATENT  
Docket No. 246152014500

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Kasey R. Cook

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Peter J. QUAEDFLEIG

Serial No.: 09/869,088

Filing Date: June 19, 2001

For: PROCESS FOR THE PREPARATION  
OF COMPOUNDS WITH ENHANCED  
OPTICAL PURITY

Examiner: Unknown

Group Art Unit: 1626

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SECOND REQUEST FOR A CORRECTED FILING RECEIPT

TECH CENTER 1600 2002

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Please furnish the undersigned with a corrected Filing Receipt for the above-identified application. Please correct the title to read as follows:

In the TITLE Section, please replace "Process For The Enzymatic Preparation Of Amino Acid Derivatives With Enhanced Optical Purity" and insert -- **Process For The Preparation Of Compounds With Enhanced Optical Purity** --.

Copies of the marked-up Filing Receipt, First Request for Corrected Filing Receipt, and Transmittal Letter to the United States Designated/Elected Office are attached

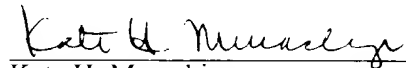
sd-105809

In the unlikely event that the transmittal letter is separated from this request and the Patent Office determines that a fee is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** (docket no. 246152014500). However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: August 19, 2002

By:

  
Kate H. Murashige  
Registration No. (29,959)

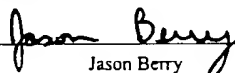
Morrison & Foerster LLP  
3811 Valley Centre Drive  
Suite 500  
San Diego, California 92130-2332  
Telephone: (858) 720-5112  
Facsimile: (858) 720-5125



PATENT  
Docket No. 246152014500

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231, on August 31, 2001.

  
Jason Berry

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Peter Jan Leonard Mario Quaedflieg, et al.

Serial No.: 09/869,088

Filing Date: June 19, 2001

For: **PROCESS FOR THE PREPARATION  
OF COMPOUNDS WITH ENHANCED  
OPTICAL PURITY**

Examiner: To be assigned

Group Art Unit: 1626

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**REQUEST FOR A CORRECTED FILING RECEIPT**

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Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

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SEP 28 2001

TECH CENTER 1600-2000

Dear Sir:

Please furnish the undersigned with a corrected Filing Receipt for the above-identified application. The corrections needed are as follows:

In the TITLE Section, please replace "Process for the enzymatic preparation of amino acid derivatives with enhanced optical purity" and insert -- **Process for the preparation of / compounds with enhanced optical purity** --.

A copy of the marked-up Filing Receipt is attached.

In the unlikely event that the transmittal letter is separated from this request and the Patent Office determines that a fee is required, applicant petitions for any required relief

including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952, ref. Docket No. 246152014500.. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: August 31, 2001

By: Kate H. Murashige  
Kate H. Murashige  
Registration No. 29,959

Morrison & Foerster LLP  
3811 Valley Centre Drive  
Suite 500  
San Diego, California 92130-2332  
Telephone: (858) 720-5112  
Facsimile: (858) 720-5125

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 MORRISON & FOERSTER  
 SAN DIEGO  
 UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 WWW.USPTO.GOV

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/869,088	06/19/2001	1626	860	24615- 20145.00		11	2

KHM

 Morrison & Foerster  
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FILING RECEIPT



\*OC00000008040208\*

Date Mailed: 05/10/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

 Peter Jan Leonard Mario Quaedflieg, Geleen, NETHERLANDS;  
 Theodorus Sonke, Caeciliastraat, NETHERLANDS;  
 Adolf Fntz Volker Wagner, Ludwigsburg, GERMANY,

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## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/NL99/00783 12/17/1999

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## Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 98204371.3 12/22/1998

If Required, Foreign Filing License Granted 05/06/2002

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

* No Docketing Required *
Reviewed by Docketing
Initials <u>AKL</u>

Title

 Process for the preparation of compounds with enhanced optical purity  
 Process for the enzymatic preparation of amino acid derivatives with enhanced optical purity

JMP

Preliminary Class

548

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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